

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Maurice Milgram I hereby certify that this paper is being deposited with the United States Serial No.: 09/900,716 Postal Service on October 15, 2001, in an envelope addressed to the Filed: July 6, 2001 Commissioner for Patents, Washington, D.C. 20231 utilizing For: Method of Securization Utilizing First Class Mail service of the United Optical Transmission of Information States Postal Service. Group Art Unit: Undetermined Examiner: Unassigned Thomas A. Miller

## PRELIMINARY AMENDMENT

Commissioner for Patents Washington, DC 20231

Dear Sir or Madam:

INVENTION--.

Prior to examination, please amend the above-identified application as follows:

#### IN THE SPECIFICATION:

Please amend the specification as follows:

Page 1, between lines 3 and 4, insert the heading --FIELD OF THE INVENTION--.

Page 2, between lines 13 and 14, insert the heading --BACKGROUND OF THE

Page 3, between lines 6 and 7, insert the heading --SUMMARY OF

THE INVENTION--.

Page 9, between lines 30 and 31, insert the heading --BRIEF DESCRIPTION OF THE DRAWINGS--.

Page 10, between lines 23 and 24, insert the heading --DETAILED DESCRIPTION--.

Page 7, lines 19-26, please delete the paragraph beginning, "- the user is caused..."

Please replace the deleted paragraph with:

-- -the user is caused to select a screen area on the screen of the user electronic apparatus, and associated with predetermined visual signaling amongst a plurality of screen areas associated with different visual signaling, said screen areas emitting respective different modulated light signals carrying different input information; and --

Page 16, lines 7-8, please delete the paragraph, "In practice, the remote station 13 can be constituted in particular by an Internet site."

Page 16, between lines 27 and 28, insert the paragraph, --In practice, the remote station 13 can be constituted in particular by an Internet site.--

## IN THE ABSTRACT

Please delete the abstract and replace it with the following:

"An electronic security device has a light-receiving interface that receives a modulated light signal emitted by the screen of a computer making use of the data medium, an output interface adapted to emit output information as a function of the received input information, and an electronic central unit connected to the receive and emit interfaces and adapted to determine the output information as a function of the input information and to cause said output information to be emitted."

#### IN THE CLAIMS:

Please amend Claims 1-40 of the application as follows:

- 1. (Amended) A security method using information transmission by light means between a user electronic apparatus having at least one input interface and a screen, and an electronic security device which comprises:
- a receive interface having at least one light sensor for receiving input information coming from the user electronic apparatus;
- an emit interface adapted to emit output information as a function at least of received input information, the output information corresponding to a security code for communicating to the input interface of the user electronic apparatus; and
- an electronic central unit connected to the receive and emit interfaces and adapted to determine the output information as a function at least of the input information and to cause said output information to be emitted by the emit interface;

the security method comprising the following steps:

- a) causing the input information to be transmitted by the user electronic apparatus to the receive interface of the electronic security device;
- b) causing the output information to be determined by the central unit of the electronic security device, as a function of the input information;
- c) causing the emit interface of the electronic security device to emit the output information corresponding to said security code, and communicating said security code to the user electronic apparatus, via the input interface of said user electronic apparatus; and
- d) verifying that the security code received by the user electronic apparatus is related to the input information by a predetermined relationship;

wherein during step a), the light sensor of the electronic security device is placed facing the screen of the user electronic apparatus while said screen is caused to emit a modulated light signal carrying the input information.

- 2. (Amended) A method according to claim 1, in which during step d), certain operations performed by means of the user electronic apparatus are authorized or not authorized as a function of verifying the security code.
- 3. (Amended) A method according to claim 1, in which the electronic security device belongs to a medium carrying digital data and readable by the user electronic apparatus.
- 4. (Amended) A method according to claim 3, in which the data medium used is an optical disk having an annular data area surrounding a central portion carrying no digital data, which central portion includes the light sensor.
- 5. (Amended) A method according to claim 1, in which, during step a), said modulated light signal is emitted in a predetermined area belonging to the screen, and the light sensor of the data medium is placed in the immediate vicinity of said predetermined area.

input interface.

- 6. (Amended) A method according to claim 5, in which, during step a), said predetermined area of the screen is indicated by at least one mark displayed by the screen.
- 7. (Amended) A method according to claim 1, in which, during step c), the output information is emitted by the electronic security device in the form of a sound signal.
- 8. (Amended) A method according to claim 7, in which the sound signal containing the output information is listened to by a human operator, which operator determines the security code as a function of the listened-to signal and communicates this security code to the user electronic apparatus via its
- 9. (Amended) A method according to claim 7, in which the sound signal containing the output information is received directly by the input interface of the user electronic apparatus.
- 10. (Amended) A method according to claim 7, in which the sound signal containing the output information is transmitted to a remote monitoring station which determines the security code as a function of said sound signal and transmits said security code to the input interface of the user electronic apparatus.

- 11. (Amended) A method according to claim 1, in which during step c), the output information is emitted by the electronic security device by being displayed on a display device.
- 12. (Amended) A method according to claim 1, in which encoded data is caused to be interchanged between a remote central station communicating with the user electronic apparatus and the central unit of the electronic security device via the emit and receive interfaces of said electronic security device.
- 13. (Amended) A method according to claim 1, in which the electronic security device stores a count of units of value, and the central unit of said electronic security device is adapted to cause said count of units of value to vary as a function of encoded data received and emitted by the central unit via the received and emit interface.
- 14. (Amended) A method according to claim 1, in which the electronic security device belongs to a portable digital data medium which can be read by the user electronic apparatus while said data medium is set in motion, the electronic security device storing at least one count of utilization units, and the central unit of said security device causing said count to vary as a function of the movements of the data medium as detected by a motion sensor.

- 15. (Amended) A method according to claim 14, in which the utilization unit count is read by an external reader using a communication interface belonging to said security device.
- 16. (Amended) A method according to claim 1, including a step of activating at least certain functions of the electronic security device, during which step a predetermined access code is communicated to the central unit of said electronic security device.
- 17. (Amended) A method according to claim 16, in which, during the activation step, the access code is communicated to the central unit by means of a user-actuatable input interface of the electronic security device.
- 18. (Amended) A method according to claim 16, in which, during the activation step, the access code is communicated to the central unit by positioning the light sensor of the electronic security device successively in register with a plurality of predetermined areas belonging to the screen of the user electronic apparatus, the various predetermined areas of the screen emitting respective different predetermined optical signals, each corresponding to a symbol displayed on the screen.
- 19. (Amended) A method according to claim 16, in which, in order to communicate the

access code to the central unit of the electronic security device, the access code is initially communicated to the user electronic apparatus using the input interface of the user electronic apparatus, the light sensor of the electronic security device is placed facing the screen of the user electronic apparatus, and said screen is caused to emit a modulated light signal corresponding to said access code.

- 20. (Amended) A method according to claim 1, in which, during step b), the central unit of the electronic security device is caused to determine the output information as a function of the input information and a personal code previously communicated to said central unit by the user of the electronic security device.
- 21. (Amended) A method according to claim 20, in which, during step b), the personal code is communicated to the central unit of the electronic security device by means of a user-actuatable input interface of the electronic security device.
- 22. (Amended) A method according to claim 20, in which, during step b), the personal code is communicated to the electronic central unit by positioning the light sensor of the electronic security device successively in register with a plurality of predetermined areas belonging to the screen of the user electronic apparatus, these various predetermined areas of the screen

emitting respective different predetermined light signals and each corresponding to a symbol displayed on the screen.

- 23. (Amended) A method according to claim 20, in which, during step b), to communicate the personal code to the central unit of the electronic security device, the personal code is initially communicated to the user electronic apparatus by using the input interface of said user electronic apparatus, the light sensor of the electronic security device is placed facing the screen of the electronic user apparatus, and said screen is caused to emit a modulated light signal corresponding to said personal code.
- 24. (Amended) A method according to claim 20, in which, during step d), a monetary operation is either authorized or not authorized.
- 25. (Amended) A method according to claim 20, in which the electronic security device stores at least one count of units of value, and during step d) an operation implying a change to said count of units of value is either authorized or not authorized.
- 26. (Amended) A method according to claim 25, in which, during step d), units are exchanged between the count of units of value in the electronic security device and a remote

count of units managed by a remote apparatus connected by telecommunications means to the user electronic apparatus.

- 27. (Amended) A method according to claim 26, in which, during step d), after a count of units of value in the electronic security device has been modified, the output interface is caused to emit acknowledgment information, and the acknowledgment information is communicated to the user electronic apparatus via the input interface of said user electronic apparatus.
- 28. (Amended) A method according to claim 20, in which, during step a):
- the user is caused to select a screen area on the screen of the user electronic apparatus, and associated with predetermined visual signaling amongst a plurality of screen areas associated with different visual signaling, said screen areas emitting respective different modulated light signals carrying different input information;
- the light sensor of the electronic security device is placed facing the screen area selected by the user and the modulated light signal emitted by said screen area is picked up by means of said light sensor; and

during step d), the user's selection is confirmed as a function of verifying the security code received by the user electronic apparatus during step d) after modifying the count of

units of value in the electronic security device.

- 29. (Amended) A method according to claim 28, in which, during step d), a count of votes is incremented corresponding to the selection made by the user during step a), and providing said selection is validated by verification of the security code.
- 30. (Amended) A method according to claim 28, in which the electronic security device stores information specifying previous elections in which the user of the electronic security device has participated, said information being updated by means of input information during step a), and during step b) or c), normal operation of the electronic security device is inhibited if the input information received for a new vote indicates that the user has already voted in this election.
- 31. (Amended) A method according to claim 30, in which, during step d), the screen is caused to emit a modulated light signal carrying an acknowledgment signal confirming that the user's vote has been taken into account, and the participation information in the memory of the electronic security device is updated only when the light sensor of the electronic security device has received this acknowledgment signal.

- 32. (Amended) A method according to claim 28, in which the modulated light signals corresponding to different screen areas are modified in random manner after each vote cast by a user.
- 33. (Amended) A method according to claim 28, in which the different screen areas are juxtaposed on the screen of the user electronic apparatus.
- 34. (Amended) A method according to claim 1, in which the input information is transmitted between at least two emitting areas belonging to the screen of the user electronic apparatus and at least two light sensors belonging to the electronic security device.
- 35. (Amended) A method according to claim 34, in which the light signals received by the two light sensors are decoded by calculating a difference between said light signals.
- 36. (Amended) A method according to claim 35, in which a binary signal is determined by comparing said difference with a threshold value, and then said binary signal is processed by median filtering.
- 37. (Amended) A method according to claim 35, in which a binary signal is determined by

comparing said difference with a threshold value previously determined by causing a predetermined calibration signal to be transmitted between the emitting areas of the screen and the light sensors.

- 38. (Amended) A method according to claim 34, in which one of the emitting areas of the screen emits a modulated light signal, while the other of said emitting areas emits a constant light signal.
- 39. (Amended) An optical disk for implementing a method according to claim 1, the disk comprising an annular data area surrounding a central portion carrying no digital data, said optical disk being readable by a user electronic apparatus by means of a light beam reader, which user electronic apparatus further comprises at least one input interface and a light-emitting screen, said data medium including an electronic security device which comprises:
- a receive interface having a least one light sensor disposed in the central portion of the optical disk and adapted to receive input information coming from the screen of the user electronic apparatus;
- an emit interface adapted to emit output information as a function of received input information, the output information corresponding to a security code for communication to the input interface of the user electronic apparatus; and

- an electronic central unit connected to the receive and emit interfaces and adapted to determine the output information as a function of the input information and to cause said output information to be emitted by the emit interface.

40. (Amended) An optical disk according to claim 39, in which the security device further includes a motion sensor.

#### **REMARKS**

The above amendments are made for the purpose of placing the application in clearer conformance with United States patent practice, including deletion of multiple dependencies and inclusion of section headings.

In accordance with the provisions of 37 CFR 1.121, the applicants attach hereto a marked-up version of the claim changes made by this amendment. The attachment is entitled "Version With Markings To Show Changes Made."

The applicant respectfully submits the application is now in condition for allowance and requests the prompt issuance of a notice of allowance. Should the examiner have any questions, he or she is respectfully invited to telephone the undersigned.

Respectfully submitted,

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#### VERSION WITH MARKINGS TO SHOW CHANGES MADE

### IN THE SPECIFICATION:

Page 7, lines 19-26, the paragraph has been amended as follows:

"-the user is caused to select a screen area on the screen of the user electronic apparatus, [and during step d) after modifying the count of units of value in the electronic security device] and associated with predetermined visual signaling amongst a plurality of screen areas associated with different visual signaling, said screen areas emitting respective different modulated light signals carrying different input information; and"

### IN THE ABSTRACT:

The abstract has been amended as follows:

"An electronic security device has a light-receiving interface [(10)] that receives a modulated light signal emitted by the screen of a computer making use of the data medium, an output interface [(11)] adapted to emit output information as a function of the received input information, and an electronic central unit [(7)] connected to the receive and emit interfaces and adapted to determine the output information as a function of the input information and to cause said output information to be emitted."

#### IN THE CLAIMS:

Claims 1-40 of the application were amended as follows:

1[/]. A security method using information transmission by light means between a user

electronic apparatus [(1)] having at least one input interface [(1b, 12)] and a screen [(1a)], and an electronic security device [(6)] which comprises:

- a receive interface having at least one light sensor [(10)] for receiving input information coming from the user electronic apparatus;
- an emit interface [(11, 16, 23, 32)] adapted to emit output information as a function at least of received input information, the output information corresponding to a security code for communicating to the input interface [(1b, 12)] of the user electronic apparatus; and
- an electronic central unit [(7)] connected to the receive and emit interfaces and adapted to determine the output information as a function at least of the input information and to cause said output information to be emitted by the emit interface;

the security method comprising the following steps:

- a) causing the input information to be transmitted by the user electronic apparatus

  [(1)] to the receive interface [(10)] of the electronic security device;
- b) causing the output information to be determined by the central unit [(7)] of the electronic security device, as a function of the input information;
- c) causing the emit interface [(11, 16, 23, 32)] of the electronic security device to emit the output information corresponding to said security code, and communicating said security code to the user electronic apparatus, via the input interface [(1b, 12)] of said user electronic apparatus; and

d) verifying that the security code received by the user electronic apparatus is related to the input information by a predetermined relationship;

wherein [the method being characterized in that] during step a), the light sensor [(10)] of the electronic security device is placed facing the screen [(1a)] of the user electronic apparatus while said screen is caused to emit a modulated light signal carrying the input information.

2[/]. A method according to claim 1, in which during step d), certain operations performed by means of the user electronic apparatus [(1)] are authorized or not authorized as a function of verifying the security code.

3[/]. A method according to claim 1 [or claim 2], in which the electronic security device [(6)] belongs to a medium [(3)] carrying digital data and readable by the user electronic apparatus [(1)].

4[/]. A method according to claim 3, in which the data medium used is an optical disk [(3)] having an annular data area [(4)] surrounding a central portion [(5)] carrying no digital data, which central portion includes the light sensor [(10)].

5[/]. A method according to claim 1 [any preceding claim], in which, during step a), said modulated light signal is emitted in a predetermined area [(17)] belonging to the screen, and the light sensor [(10)] of the data medium is placed in the immediate vicinity of said predetermined area.

6[/]. A method according to claim 5, in which, during step a), said predetermined area [(17)] of the screen is indicated by at least one mark [(18)] displayed by the screen [(1a)].

7[/]. A method according to <u>claim 1</u> [any preceding claim], in which, during step c), the output information is emitted by the electronic security device [(6)] in the form of a sound signal.

8[/]. A method according to claim 7, in which the sound signal containing the output information is listened to by a human operator, which operator determines the security code as a function of the listened-to signal and communicates this security code to the user electronic apparatus via its input interface [(1b)].

9[/]. A method according to claim 7, in which the sound signal containing the output information is received directly by the input interface [(12)] of the user electronic apparatus.

10[/]. A method according to claim 7 [any one of claims 7 to 9], in which the sound signal containing the output information is transmitted to a remote monitoring station [(13)] which determines the security code as a function of said sound signal and transmits said security code to the input interface of the user electronic apparatus.

11[/]. A method according to <u>claim 1</u> [any preceding claim], in which during step c), the output information is emitted by the electronic security device [(6)] by being displayed on a display device [(23, 32)].

12[/]. A method according to <u>claim 1</u> [any preceding claim], in which encoded data is caused to be interchanged between a remote central station [(13)] communicating with the user electronic apparatus [(1)] and the central unit [(7)] of the electronic security device via the emit and receive interfaces [(11, 16, 23, 32; 10)] of said electronic security device.

13[/]. A method according to <u>claim 1</u> [any preceding claim], in which the electronic security device [(6)] stores a count of units of value, and the central unit [(7)] of said electronic security device is adapted to cause said count of units of value to vary as a function of encoded data received and emitted by the central unit via the received and emit interface [(10; 11, 16, 23, 32)].

14[/]. A method according to claim 1 [any preceding claim], in which the electronic security device [(6)] belongs to a portable digital data medium [(3)] which can be read by the user electronic apparatus [(1)] while said data medium is set in motion, the electronic security device [(6)] storing at least one count of utilization units, and the central unit [(7)] of said security device causing said count to vary as a function of the movements of the data medium as detected by a motion sensor [(19)].

15[/]. A method according to claim 14, in which the utilization unit count is read by an external reader [(22)] using a communication interface [(21)] belonging to said security device [(6)].

16[/]. A method according to <u>claim 1</u> [any preceding claim], including a step of activating at least certain functions of the electronic security device [(6)], during which step a predetermined access code is communicated to the central unit [(7)] of said electronic security device.

17[/]. A method according to claim 16, in which, during the activation step, the access code is communicated to the central unit [(7)] by means of a user-actuatable input interface [(33)] of the electronic security device [(6)].

18[/]. A method according to claim 16, in which, during the activation step, the access code is communicated to the central unit [(7)] by positioning the light sensor [(10)] of the electronic security device [(6)] successively in register with a plurality of predetermined areas [(35)] belonging to the screen [(1a)] of the user electronic apparatus, the various predetermined areas [(35)] of the screen [(1a)] emitting respective different predetermined optical signals, each corresponding to a symbol displayed on the screen.

19[/]. A method according to claim 16, in which, in order to communicate the access code to the central unit [(7)] of the electronic security device, the access code is initially communicated to the user electronic apparatus [(1)] using the input interface [(1b)] of the user electronic apparatus, the light sensor [(10)] of the electronic security device is placed facing the screen [(1a)] of the user electronic apparatus, and said screen is caused to emit a modulated light signal corresponding to said access code.

20[/]. A method according to <u>claim 1</u> [any preceding claim], in which, during step b), the central unit [(7)] of the electronic security device is caused to determine the output information as a function of the input information and a personal code previously communicated to said central unit [(7)] by the user of the electronic security device [(6)].

21[/]. A method according to claim 20, in which, during step b), the personal code is communicated to the central unit [(7)] of the electronic security device [(6)] by means of a user-actuatable input interface [(33)] of the electronic security device [(6)].

22[/]. A method according to claim 20, in which, during step b), the personal code is communicated to the electronic central unit [(7)] by positioning the light sensor [(10)] of the electronic security device successively in register with a plurality of predetermined areas [(35)] belonging to the screen [(1a)] of the user electronic apparatus, these various predetermined areas [(35)] of the screen [(1a)] emitting respective different predetermined light signals and each corresponding to a symbol displayed on the screen.

23[/]. A method according to claim 20, in which, during step b), to communicate the personal code to the central unit [(7)] of the electronic security device, the personal code is initially communicated to the user electronic apparatus by using the input interface [(1b)] of said user electronic apparatus, the light sensor [(10)] of the electronic security device is placed facing the screen [(1a)] of the electronic user apparatus, and said screen is caused to emit a modulated light signal corresponding to said personal code.

24[/]. A method according to claim 20 [any one of claims 20 to 23], in which, during step d),

a monetary operation is either authorized or not authorized.

25[/]. A method according to <u>claim 20</u> [any one of claims 20 to 24], in which the electronic security device stores at least one count of units of value, and during step d) an operation implying a change to said count of units of value is <u>either</u> authorized or not authorized.

26[/]. A method according to claim 25, in which, during step d), units are exchanged between the count of units of value in the electronic security device and a remote count of units [(13a)] managed by a remote apparatus [(13)] connected by telecommunications means [(14)] to the user electronic apparatus [(1)].

27[/]. A method according to claim 26, in which, during step d), after a count of units of value in the electronic security device [(6)] has been modified, the output interface [(11, 16)] is caused to emit acknowledgment information, and the acknowledgment information is communicated to the user electronic apparatus [(1)] via the input interface [(1b, 12)] of said user electronic apparatus.

28[/]. A method according to claim 20 [any one of claims 20 to 23], in which, during step a):

- the user is caused to select a screen area [(35)] on the screen [(1a)] of the user

electronic apparatus[, and during step d) after modifying the count of units of value in the electronic security device (6)] and associated with predetermined visual signaling amongst a plurality of screen areas associated with different visual signaling, said screen areas [(35)] emitting respective different modulated light signals carrying different input information;

- the light sensor [(10)] of the electronic security device is placed facing the screen area [(35)] selected by the user and the modulated light signal emitted by said screen area is picked up by means of said light sensor; and

during step d), the user's selection is confirmed as a function of verifying the security code received by the user electronic apparatus during step d) after modifying the count of units of value in the electronic security device [(6)].

29[/]. A method according to claim 28, in which, during step d), a count of votes is incremented corresponding to the selection made by the user during step a), and providing said selection is validated by verification of the security code.

30[/]. A method according to claim 28 [or claim 29], in which the electronic security device [(6)] stores information specifying previous elections in which the user of the electronic security device has participated, said information being updated by means of input information during step a), and during step b) or c), normal operation of the electronic

security device is inhibited if the input information received for a new vote indicates that the user has already voted in this election.

31[/]. A method according to claim 30, in which, during step d), the screen is caused to emit a modulated light signal carrying an acknowledgment signal confirming that the user's vote has been taken into account, and the participation information in the memory of the electronic security device is updated only when the light sensor [(10)] of the electronic security device has received this acknowledgment signal.

32[/]. A method according to <u>claim 28</u> [any one of claims 28 to 31], in which the modulated light signals corresponding to different screen areas [(35)] are modified in random manner after each vote cast by a user.

33[/]. A method according to <u>claim 28</u> [any one of claims 28 to 32], in which the different screen areas [(35)] are juxtaposed on the screen [(1a)] of the user electronic apparatus.

34[/]. A method according to <u>claim 1</u> [any preceding claim], in which the input information is transmitted between at least two emitting areas [(17)] belonging to the screen [(1a)] of the user electronic apparatus and at least two light sensors [(10)] belonging to the electronic

security device [(6)].

35[/]. A method according to claim 34, in which the light signals received by the two light sensors [(10)] are decoded by calculating a difference between said light signals.

36[/]. A method according to claim 35, in which a binary signal is determined by comparing said difference with a threshold value, and then said binary signal is processed by median filtering.

37[/]. A method according to claim 35, in which a binary signal is determined by comparing said difference with a threshold value previously determined by causing a predetermined calibration signal to be transmitted between the emitting areas [(17)] of the screen [(1a)] and the light sensors [(10)].

38[/]. A method according to <u>claim 34</u> [any one of claims 34 to 37], in which one of the emitting areas [(17)] of the screen [(1a)] emits a modulated light signal, while the other of said emitting areas [(17)] emits a constant light signal.

39[/]. An optical disk [(3)] for implementing a method according to claim 1 [any preceding claim], the disk comprising an annular data area [(4)] surrounding a central portion [(5)] carrying no digital data, said optical disk being readable by a user electronic apparatus [(1)] by means of a light beam reader [(2)], which user electronic apparatus further comprises at least one input interface [(1b, 12)] and a light-emitting screen [(1a)], said data medium including an electronic security device which comprises:

- a receive interface having a least one light sensor [(10)] disposed in the central portion [(5)] of the optical disk and adapted to receive input information coming from the screen [(1a)] of the user electronic apparatus;

- an emit interface [(11, 16, 23, 32)] adapted to emit output information as a function of received input information, the output information corresponding to a security code for communication to the input interface [(1b, 12)] of the user electronic apparatus; and

- an electronic central unit [(7)] connected to the receive and emit interfaces and adapted to determine the output information as a function of the input information and to cause said output information to be emitted by the emit interface.

40[/]. An optical disk according to claim 39, in which the security device [(6)] further includes a motion sensor [(19)].